

# Locating National UCC Filing Records

National UCC Filing records contain information from commercial lien filings. These records can help find assets used by businesses to secure commercial loans or to learn about financial relationships between individuals and businesses.

You can search for UCC Filings using the following search criteria:

- Company Name
- Last Name
- First Name
- Middle Name
- Street Address
- City
- State
- Zip
- Original Filing Number
- FEIN
- Filing Date Range
- Filing Jurisdiction

**Note:** Searches are conducted only on Debtors. Secured Party information is returned on the results.

Results include: Debtor Name, Debtor SSN/FEIN, Debtor Address, Debtor Status, Secured Name, Secured Address, Filing Type, Original Filing Date, Original Filing Number, Filing Jurisdiction.

You can run a UCC report that displays additional information, including: Filing Number, Filing Type, Filing Date, Filing Agency, Filing Agency Address, Debtor, Creditor, Secured, and Assignee Party information, Expiration Date, Collateral, and detailed information related to the filing. If there are multiple Secured Parties or Debtor Parties, use the report to see that information.

Click on the **Coverage Area** link to see a list of areas covered by the search.

## Quick Start

First, try to find a UCC record using one or more of the following search techniques:

1. Search by **Company Name** and **State**. If too many records are returned, try adding a **City**.
2. Search using **FEIN**.
3. Search using a **Last Name, First Name** and **State**. This returns UCC Filings where an individual is listed personally as a **Debtor**.
4. Search using a **Company's Address, City, and State**. This can reveal other companies connected to the business.
5. Conduct a **Corporation Search** to learn of other company names, and then conduct UCC searches using those names.
6. Search using **Original Filing Number**. If too many records are returned, add the filing date range or filing jurisdiction to narrow your results.

**Note:** If you search by Name or Address, you can check the **Strict Search** box to limit your search results to only include exact matches on the name and street address fields, as entered. This search option will not return phonetic matches or nicknames, or addresses with similar street numbers.

## Search Tips

### SEARCH BY COMPANY NAME

On the **National UCC Filings Search** within the **Businesses** menu, type the Address, City, and State of a company in the appropriate fields, then click the Search button. If more records are returned than are practical to review, refine your search as described below.

## SEARCH BY ADDRESS

On the **National UCC Filings Search** within the **Businesses** menu, type the Address, City, and State of a company in the appropriate fields, then click the Search button. If more records are returned than are practical to review, refine your search as described below.

## SEARCH BY LAST NAME

On the **National UCC Filings Search** within the **Businesses** menu, type the last name of a subject in the **Last Name** field. Then click the Search button.

TIP: If unsure of the exact last name, check the **Include similar sounding names** box to include phonetic (i.e., sound-alike) results for the last name you've entered.

If more records are returned than are practical to review, refine your search by adding criteria, such as first name, middle name or initial, city, state, or ZIP code. The more criteria you provide, the more precise (narrow) the results will be.

Keep in mind that some records may not contain a middle name or initial, so providing one could eliminate records you may want included.

## SEARCH BY ORIGINAL FILING NUMBER

On the **National UCC Filings Search** within the **Businesses** menu, click the plus sign by **Show Additional Fields**. The **Original Filing Number**, **Filing Date Range** (mm/dd/yyyy), and **Filing Jurisdiction** fields appear.

Enter information in any of the three fields and click the Search button.

## Search Results

### TOO MANY RECORDS FOUND

If your search returns the following message: **Search completed. Too many records found. There was No Charge for this search**, it means the search resulted in more than 1,000 records. Try narrowing the list of results by adding search criteria.

If a list of results is displayed but more records were returned than are practical to review, try narrowing the list of results by adding search criteria.

### NARROW LIST OF RESULTS

If your search returns a long list of results, we recommend adding the following criteria, if known, in this order:

1. **State** -

Entering a state narrows the results to subjects living in that state.

2. **City** - Entering a city, in addition to the state, further narrows the results to subjects living in the specified city and state.
3. **Filing Date Range (mm/dd/yyyy)** - Entering a filing date range narrows the results to filings for that specific time frame.
4. **Filing Jurisdiction** - Entering a filing jurisdiction narrows the results to filings filed within that jurisdiction.

## **EXPAND LIST OF RESULTS**

If too few or no results are returned, loosen the criteria by removing the most precise or uncertain criteria (e.g., middle initial or city).

## **FIND A RECORD IN THE DISPLAYED LIST**

Identify the number of unique filings by examining the information displayed. Examine the records to determine if the displayed information fits what is known about the Subject.

To order a report, click the **National UCC Filings Report** link in the **Further Research** panel of results.